

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hideki Tanaka

Serial No.: 09/788,503

Filing Date: February 21, 2001

Group Art Unit: 2823

Examiner: Nguyen, Khiem D.

For: TAPE CARRIER TYPE SEMICONDUCTOR DEVICE, METHOD FOR
MANUFACTURING THE SAME, AND FLEXIBLE SUBSTRATE

Honorable Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, English Abstracts are attached to some of the references.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

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Honorable Commissioner of Patents
Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir:

REQUEST FOR REFUND

A charge of \$180.00 was erroneously deducted for fee code 1806, Submission of an Information Disclosure Statement, from our Deposit Account 50-0481 on January 31, 2003. It is noted that the IDS included a certification and that no Final Office Action or Notice of Allowance has been issued. Therefore, it appears that the \$180.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$180.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date:

5/15/03

Sean M. McGinn, Esq.
Reg. No. 34,386

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